



2151

4982/16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Randy De MENO et al.
Appln. No. : 09/882,438
Filing Date : June 14, 2001
Title : STORAGE OF APPLICATION SPECIFIC PROFILES
CORRELATING TO DOCUMENT VERSIONS

RECEIVED
DEC 03 2001
Group 2100

Commissioner for Patents
Washington, D.C. 20231

REVOCATION OF AND APPOINTMENT OF NEW POWER OF ATTORNEY

Pursuant to 37 C.F.R. § 1.36, CommVault Systems, Inc., as Assignee of the above-captioned patent application, hereby revokes all prior powers of attorney in the above-captioned patent application and hereby appoints Seth H. Ostrow, Reg. No. 37,410; Frank J. DeRosa, Reg. No. 26,543; Pamela G. Maher, Reg. No. 40,712; Leslie Restaino, Reg. No. 38,893; Ralph F. Hoppin, Reg. No. 38,494; Matthew J. Marquardt, Reg. No. 40,997; Silvana Merlino, Reg. No. 44,237; Frederick Yu, Reg. No. 45,251; Brooke W. Quist, Reg. No. 45,030; James W. Woods, Reg. No. 47,184; and Mauri Aven, Reg. No. 42,275 of the firm BROWN RAYSMAN MILLSTEIN FELDER & STEINER, LLP, 900 Third Avenue, New York, New York 10022, (212) 895-2000, with full power of substitution and revocation to prosecute this patent and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all future correspondence in this patent application to:

BROWN RAYSMAN MILLSTEIN FELDER & STEINER, LLP
900 Third Avenue
New York, New York 10022
(212) 895-2000


The undersigned has reviewed all documents in the chain of title and believes title is in the Assignee.

A Certificate under 37 C.F.R. § 3.73(b) is filed herewith.

CommVault Systems, Inc.

11-21-01

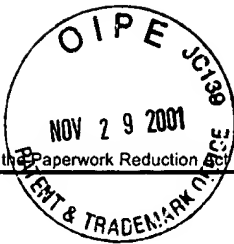
Date



(Signature)

Louis Miceli, CFO and Secretary

(Name and Title of Authorized Company Official)



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/96 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Randy DE MENO et al.
Application No./Patent No.: 09/882,438 Filed/Issue Date: June 14, 2001
Entitled: STORAGE OF APPLICATIONS SPECIFIC PROFILES CORRELATING TO DOCUMENT
VERSIONS
COMMVAULT SYSTEMS, INC., a Delaware
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

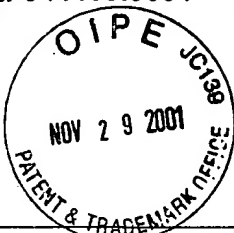
☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

11-21-01
Date

Louis Miceli
Typed or printed name
[Signature]
Signature
CFO and Secretary
Title

Expires 06/30/99
OMB 0651-0027U.S. Department of Commerce
Patent and Trademark Office

PATENT

RECORDATION FORM COVER SHEET
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID#

Correction of PTO Error

☐ Reel # Frame #

Corrective Document

☐ Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

 RECEIVED
 DEC 03 2001
 Group 2100

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name (line 1) DeMeno, Randy

 Execution Date
 Month Day Year
 05 04 2001
Name (line 2)

Second Party

Name (line 1) Schwartz, Jeremy A.

 Execution Date
 Month Day Year
 04 19 2001
Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) CommVault Systems, Inc.

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)
Name (line 2)

Address (line 1) 2 Crescent Place

Address (line 2)

Address (line 3) Oceanport New Jersey 07757-0090

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Address (line 1) Address (line 2) Address (line 3)

FOR OFFICE USE ONLY

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
 Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

Expires 06/30/99
OMB 0651-0027**PATENT**

Correspondent Name and Address

Area Code and Telephone Number

(512) 499-6200

Name

Russell C. Scott

Address (line 1)

Akin, Gump, Strauss, Hauer & Feld, L.L.P.

Address (line 2)

816 Congress Avenue, Suite 1900

Address (line 3)

Austin, Texas 78701

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments

5

Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month	Day	Year
04	19	2001

Patent Cooperation Treaty (PCT)

PCT

PCT

PCT

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:

Enclosed

☒

Deposit Account

☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

01-0660

Authorization to charge additional fees: Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Russell C. Scott (43,103)

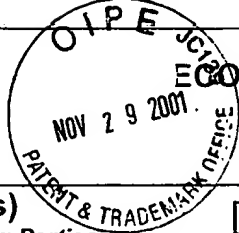
Name of Person Signing



Signature

June 14, 2001

Date



RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office

PATENT

Expires 06/30/99
OMB 0651-0027

Conveying Party(ies)

Enter additional Conveying Parties

☐

Mark if additional names of conveying parties attached

Execution Date

Month	Day	Year
04	20	2001

Name (line 1) **Prahlad, Anand**

Name (line 2)

Name (line 1) **McMguigan, James J.**

Name (line 2)

Name (line 1)

Name (line 2)

Execution Date

Month	Day	Year
05	04	2001

Execution Date

Month	Day	Year
-------	-----	------

Receiving Party(ies)

Enter additional Receiving Party(ies)

☐

Mark if additional names of receiving parties attached

☐

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT OF INVENTION

WHEREAS, **Randy De Meno, Jeremy A. Schwartz, Anand Prahlad, and James J. McGuigan**, hereinafter sometimes referred to as "said inventors," have invented certain new and useful improvements in a **STORAGE OF APPLICATION SPECIFIC PROFILES CORRELATING TO DOCUMENT VERSIONS**, which invention or inventions (hereinafter referred to as "invention") have been disclosed to and filed, under Docket No. **044463.0031**, in the patent files of their employer, **COMMVAULT SYSTEMS, INC.**, 2 Crescent Place, Oceanport, N.J. 07757-0090, and which invention will be covered by a patent application having Serial No. N/A and being filed herewith.

WHEREAS, **COMMVAULT SYSTEMS, INC.**, a corporation organized and existing under and by virtue of the laws of the State of New Jersey, and having its principal place of business at 2 Crescent Place, Oceanport, N.J. 07757-0090, is desirous of acquiring the entire right, title, and interest in and to said invention, improvements, application, and any Letters Patent which may be granted therefor or thereupon, together with any and all divisions, continuations, reissues and extensions thereof:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said inventors have sold, assigned and transferred and by these presents do sell, assign and transfer unto **COMMVAULT SYSTEMS, INC.**, the entire right, title and interest in and to said invention and in and to said application and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, continuation-in-part, division, renewal, or substitute thereof, or any reissue or re-examination thereof, for the territory of the United States of America and for all foreign countries; said invention and application to be held and enjoyed by the said **COMMVAULT SYSTEMS, INC.**, for its own use and benefit and for the use and benefit of its successors and assigns, to the full end of the term for which Letters Patent on same will be granted, as fully and entirely as the same would have been held by said inventors had this Assignment and sale not been made.

FURTHER, said inventors agree to sign all lawful papers necessary or helpful in obtaining a patent on said invention or in filing any divisional, continuation, continuation-in-part, substitution, or reissue application on said invention or in obtaining any re-examination thereof.

Executed at the location of OCEANPORT / MONMOUTH,
(City/County)
this 4th day of MAY, 2001.

First Inventor


Randy DeMeno

STATE OF NEW JERSEY)
COUNTY OF MON)

On 5/7/01 [date] before me, Lori Graham [notary public's name], personally appeared Randy DeMeno, personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public Lori Graham

My Commission expires on: 11/28/05

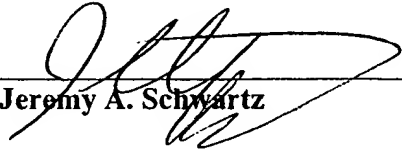
SEAL

LORI E. GRAHAM
Notary Public of New Jersey
My Commission Expires November 28, 2005

Lori Graham

Executed at the location of Oceanport / Monmouth,
(City/County)
this 19 day of April, 2001.

Second Inventor


Jeremy A. Schwartz

STATE OF NEW JERSEY)
)
COUNTY OF MON)

On 5/7/01 [date] before me, Lori Graham [notary public's name], personally appeared Jeremy A. Schwartz, personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public Lori Graham

My Commission expires on: 11/28/05

SEAL

LORI E. GRAHAM
Notary Public of New Jersey
My Commission Expires November 28, 2005

Executed at the location of Oceanport / Monmouth
(City/County)
this 20th day of April, 2001.

Third Inventor

P. Anand
Anand Prahlad

STATE OF NEW JERSEY)
COUNTY OF MON)

On 5/7/01 [date] before me, Lori Graham [notary public's name], personally appeared Anand Prahlad, personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public Lori Graham

My Commission expires on: 11/28/05

SEAL

LORI E. GRAHAM
Notary Public of New Jersey
My Commission Expires November 28, 2005

Executed at the location of Oceanport / Monmouth
(City/County)
this 4 day of May, 2001.

Fourth Inventor

James J. McGuigan
James J. McGuigan

STATE OF NEW JERSEY)
COUNTY OF MON)

On 5/7/01 [date] before me, Lori Graham [notary public's name], personally appeared **James J. McGuigan**, personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public Lori Graham

My Commission expires on: 11/28/05

SEAL

LORI E. GRAHAM
Notary Public of New Jersey
My Commission Expires November 28, 2005